EU CALLS FOR PROPOSAL UBC MEMBER CITIES

APRIL 2021

Contents

Horizon Europe Call for a prize The European Capital of Innovation Awards	2
Union Civil Protection Mechanism (UCPM) 1	3
Union Civil Protection Mechanism (UCPM) 2	5
Local Authorities: Partnerships for sustainable cities	7

Funding Programme	Horizon Europe Call for a prize The European Capital of Innovation Awards
Deadline	15 July 2021 – 17:00 CET (Brussels)
Scope	Every year, the European Union recognises cities with inclusive innovation ecosystems by awarding the recognition prize European Capital of Innovation Awards. The awards identify urban ecosystems that connect citizens, the public sector, academia, and business, and successfully translate their results into improved wellbeing of their citizens, while boosting game-changing innovation. The award is part of the European Union's efforts to raise awareness about the benefits of urban innovation 'with' and 'for' citizens, supporting cities in addressing relevant societal challenges, and strengthening their urban resilience. The European Capital of Innovation Awards aim to champion inspiring cases of municipality-enabled innovation flourishing in cities. The Awards are a prestigious recognition for city administrators who are courageous enough to open up their governance practices to experimentation, to boost innovation by all means, to be a role model for other citizens. In addition to the monetary reward, the prize brings high visibility in the form of renewed public interest and increased media coverage. The Awards will raise the profile of city teams that have developed and implemented innovative policies; enhanced citizens' role in finding local solutions and participating in the decision-making process; facilitated the city's attractiveness towards investors, industry, top class talents and entrepreneurial individuals; supported the growth of highly innovative start-ups and SMEs; helped the city to open up connections with others and cooperate with them, thus inspiring and helping identify best practices to be replicated across Europe. Activities must comply with the highest ethical standards and the applicable EU, international and national law on ethical principles. Moreover, they must respect basic EU values (such as respect for human dignity, freedom, democracy, equality, the rule of law and human rights, including the rights of minorities). The activities must comply with: – ethical principles (including th
	to cancer treatment of the gonads, which may be financed), or – intend to create human embryos solely for the purpose of research or for the purpose of stem cell procurement, including by means of somatic cell nuclear transfer. Moreover activities must have an exclusive focus on civil applications and no prize can be awarded for activities carried out outside the EU, if they are prohibited in all Member States. Applications involving ethics issues will have to undergo an ethics review to authorize funding and may be made subject to specific ethics requirements.
	 Award criteria, scoring and thresholds: 1: Experimenting – innovative concepts, processes, tools, and governance models proving the city's commitment to act as a test-bed for innovative practices, while ensuring the mainstreaming of these practices into the ordinary urban development process. 2: Escalating – accelerating the growth of highly innovative start-ups and SMEs by establishing an innovation friendly legal framework, creating an environment that stimulates growth, private and public investments, resources and talents; and driving innovation demand through efficient

Eligibility	innovation public procurement. The city is expected to provide details on concrete results of the showcased initiatives.3: Ecosystem building – unlocking the city's potential as local innovation ecosystem facilitators by fostering synergies among different innovation ecosystem players, from public, industry, civil society, citizens to academia, to contribute to the development of an innovation ecosystem within the city. 4: Expanding – acting as a role model for other cities by supporting the dissemination and replication of tested solutions that boost the local innovation ecosystem; by promoting mutual learning, knowledge transfer and capacity building; and by enhancing cooperation and synergies between cities that are front-runners in driving the local innovation ecosystem, and those that are still exploring and testing their role as innovation enablers. 5: City innovative vision – applicants should demonstrate their long-term strategic vision/plan, highlighting the innovative initiatives that have positively contributed to the transformation of the city and which will further support the development of a robust and sustainable innovation ecosystem. In order to be eligible, the applicant cities must comply with all the following criteria: 1. The candidate cities must be located in one of the EU Member States or Associated Countries to Horizon Europe. 2. For the category of European Capital of Innovation, the candidate city must have a population of minimum 250 000 inhabitants. In countries where there are no such cities, the biggest cities are eligible and can only apply to a single category, provided that their population is of minimum 50 000 inhabitants. The candidate cities for the European Rising Innovative City category must have a population of 50 000 and up to 249,999 inhabitants. 3. Winners of former European Capital of Innovation Awards editions, as well as runners-up of the edition organised one year prior to the current
	well as runners-up of the edition organised one year prior to the current edition are not eligible. This does not apply to previous finalist cities.
Budget	1 First prize for the European Capital of Innovation category of EUR 1 000 000 and 2 runner-up prizes of EUR 100 000. 1 First prize European Rising
Link to the call	Innovative City of EUR 500 000 and 2 runner-up prizes of EUR 50 000. <u>https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-</u> 2027/horizon/wp-call/2021/roc horizon-eic-2021-icapitalprize en.pdf

Funding Programme	Union Civil Protection Mechanism (UCPM) 1
5	Call
	Prevention and Preparedness Projects on Civil Protection and
	Marine Pollution (UCPM-2021-PP)
Deadline	08 June 2021 17:00:00 Brussels time

Scope	Scope: Applicants are invited to focus on <u>one of the following call priorities</u> (specific objectives): Priority 1: Developing response capacity for marine pollution incidents Increasing complexity of maritime risks due to changes in maritime transport, fuels and hazardous cargos, requires a review and step-up of the capacity of responders as well as improved monitoring, detection and response tools to different pollutants. It is important that such capacity development takes into account a variety of pressures for marine environment and their current management, and aims towards more flexible and versatile capabilities that can serve across multiple areas. Priority 2: Enhancing regional and cross-sectoral coordination European regional coordination in the area of marine pollution disaster risk management is mainly built and undertaken in the Regional Sea Conventions, to most of which EU is a Contracting Party. Therefore, this call particularly supports strategic prevention and preparedness goals and actions endorsed or initiated under these Conventions, when regional resources are not sufficient for their implementation. Furthermore, the ongoing regional work does not always sufficiently involve other relevant sectors, leaving room for fragmentation or lack of coordination. The call aims to address these gaps.
	 Priority 1: Developing response capacity for marine pollution incidents Project activities and outputs should lead to the development of up to two (2) of the following outcomes: Advanced training and/or exercising capacities are developed; System(s) or methods for detection of and response to various pollutants are developed or improved; Innovative response capacities are tested through exercises. Examples of project outputs to be funded include, among others: Advanced training technologies or methodologies for a sound assessment and recovery of various pollutants at-sea and on shore; Interactive pollutant simulation tool enabling comparison of different response strategies at-sea and on-shore considering relevant parameters; Innovative systems and tools for detecting and recovering oil or other harmful substances; Trainings and small-scale exercises aimed at testing innovative disaster preparedness approaches, methodologies and tools. Priority 2: Enhancing regional and cross-sectorial coordination Project activities and outputs should lead to the development of up to two (2) of the following outcomes: 2.1 Coordination within or between European regional sea conventions is enhanced; 2.2 Communication and operational coordination between at-sea and shoreline preparedness and response is enhanced; 2.3 A (sub-)regional approach to significant acute pollution, in particular with regard to spill impact/effect monitoring and assessment is established. Examples of project outputs to be funded include, among others:

	Joint at-sea/on-shore response plans, taking into account European and international good practice and experience; Joint at-sea/on-shore trainings and small scale exercises; Integration of at-sea/on-shore operational and communication systems; Data collection/analysis, sensitivity maps, feasibility studies and other science-based tools in support of regional and cross-sectorial coordination; Common operational approaches, strategies or procedures for monitoring, assessment and reporting of pollution or its impact
Eligibility & Partnership	In order to be eligible, the applicants (beneficiaries and affiliated entities) must: - be legal entities (public or private bodies) - be established in one of the eligible countries, i.e.: - EU Member States (including overseas countries and territories (OCTs)) - Participating States in the UCPM, including: Iceland, Montenegro, The Republic of North Macedonia, Norway, Serbia and Turkey - Instrument for Pre-Accession (IPA) beneficiaries not participating in the UCPM: Albania, Bosnia and Herzegovina, Kosovo - European Neighbourhood Policy countries: East (Armenia, Azerbaijan, Belarus, Georgia, the Republic of Moldova and Ukraine) and South (Algeria, Egypt, Israel, Jordan, Lebanon, Libya, Morocco, Palestine, Syria and Tunisia International organisations — International organisations are eligible.
Budget	 The available call budget is EUR 3 500 000. Indicative allocation of funds by topic/geographical distribution: Topic 1: Prevention and Preparedness for Cross Border Risks A) Internal action: EUR 1 200 000 for projects targeting Member States and Participating States. B) External action: EUR 550 000 for projects targeting IPA12 beneficiaries not participating in the Mechanism, European Neighbourhood Policy13 countries, Member States and Participating States.
Link to the call	https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021- 2027/ucpm/wp-call/2021/call-fiche_ucpm-2021-pp_en.pdf

Funding Programme	Union Civil Protection Mechanism (UCPM) 2
	Call
	Network Partnership (UCPM-2021-KN)
Deadline	01 June 2021 17:00:00 Brussels time
Scope	Projects under the Call for Proposals will establish thematic communities/centres of expertise that will generate, promote, test, exchange and disseminate knowledge, skills, expertise, innovation and scientific evidence in support of the Capacity-Development and Science Pillars of the Knowledge Network. The thematic communities/centres of expertise will be multinational and multidisciplinary specialised groups with organisational environments that strive for developing high standards of conduct in the fields of capacity-

	development, learning, research and innovation in civil protection and disaster risk management. The thematic communities will bring together individuals (practitioners, researchers and experts) from institutions (national and local civil protection authorities, research centres, policy institutes, centres of excellence etc.) engaged in disaster prevention, preparedness and response. Thematic communities/centres of expertise will pull together, develop, disseminate and exchange state-of-the-art knowledge and expertise; encourage scientific excellence; stimulate technological innovation; gear educational and learning goals; and support policy and decision-making in civil protection and disaster management. To achieve this, thematic communities/centres of expertise will focus on a specific natural or human- induced hazard (earthquakes, forest/wildfires, floods, medical emergencies/pandemics, CBRN threats etc.), cluster of hazards (meteorological, geophysical, hydrological, climatological, technological etc.), or a particular preparedness and response scenario (emergency medical response, search and rescue operations etc.) Focus is placed on common risks and joint priorities identified by the UCPM Member States, Participating States and the EU. Objectives: Design, develop and implement multi-disciplinary, risk-based thematic communities/centres of expertise for civil protection and disaster management stakeholders. Design, develop and implement capacity-development and applied science activities that contribute to building and sharing of knowledge, expertise and skills in civil protection and disaster management.
	 O1- Activities that can be funded Examples include, but are not limited to the following activities: Meetings -Networking events Studies - Surveys Research - Retreats Workshops - Seminars Development and testing of new technologies and IT tools
	O2 Examples include, but are not limited to the following activities: - (Scoping, comparative, feasibility) research and studies - Qualitative analyses - Scenario-building - Awareness-raising and communication - (Modular, Training of Trainers, ad hoc) trainings - Exposure and exchange visits and other mobility activities - Coaching and mentorship - Development and testing of new technologies and IT tools - Analysis and reporting - Simulations - Workshops - Seminars - Communication activities - Exercises to test methods/solutions
Eligibility & Partnership	In order to be eligible, the applicants (beneficiaries and affiliated entities) must: be legal entities (public or private bodies) be established in one of the eligible countries, i.e.:

	EU Member States (including overseas countries and territories (OCTs)) UCPM Participating States: Iceland, Montenegro, the Republic of North Macedonia, Norway, Serbia and Turkey Eligible non-EU countries, i.e.: Instrument for Pre-Accession (IPA) beneficiaries not participating in the UCPM: Albania, Bosnia and Herzegovina, Kosovo o European Neighborhood Policy countries: Eastern Neighborhood: Armenia, Azerbaijan, Belarus, Georgia, the Republic of Moldova and Ukraine; Southern Neighborhood: Algeria, Egypt, Israel, Jordan, Lebanon, Libya, Morocco, Palestine20 and Tunisia.
Budget	International organisations — International organisations are eligible. The available call budget is EUR 1 000 000. The EU co-funding rate amounts to 85% of total eligible costs with a
	maximum EU contribution of EUR 500 000 and a minimum EU contribution of EUR 250 000 per project.
	The EU grant contributes to the eligible costs of each beneficiary at a rate of 85% therefore each beneficiary must guarantee that the remaining 15% of
	its eligible costs are funded from sources other than the Union budget. The
	applicants are thus encouraged to look for other possible local, national or international, private or public sources.
Link to the	https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-
call	2027/ucpm/wp-call/2021/call-fiche_ucpm-2021-kn_en.pdf

Local Authorities: Partnerships for sustainable cities

<u>Duration</u>

The initial planned duration of an action may **not be lower than 24 months nor exceed 36 months.**

Sectors or themes

Support to good governance, Green Deal, growth, job creation and digitalisation as well as migration

<u>Location</u>

Action must take place in **<u>one</u> country only.**

and time).	ne for the submission of concept notes is 23/04/2021 at 16:00 (Brussels date
Topics	The global objective of the Programme "Partnership for sustainable cities" is to promote integrated urban development through partnerships built among Local Authorities of the EU Member States and partner countries in accordance with the 2030 Agenda on sustainable development.
	Partnerships will support Local Authorities from partner countries to address sustainable urban development through capacity building and service-delivery. Decentralised cooperation and peer-to-peer exchanges of Local Authorities are at the heart of this approach. Actions could support the building of new partnerships or contribute to upscale long- lasting partnerships and cooperation relations. This call will contribute to address the new political priorities of the EU, notably promoting good governance, "Green Deal", growth, job creation
	and digitalisation as well as migration by focusing on the following specific objectives: The specific objectives are:
	One mandatory objective Strengthen urban governance by supporting the capacity of the loca authorities as autonomous policy maker, better access to services by the citizens (including e-governance) and promoting a "territorial approach" to local development
	 Ensure social inclusiveness of cities: by preventing both the causes and effects of inequality, marginalisation and segregation, including tackling the needs of women, children, youth, and marginalised and vulnerable groups such as disabled people, migrants and displaced people. Improve resilience and greening of cities by increasing the quality of life, fostering urban green growth and making cities more resource efficient through sustainable modes of production and consumption;
	 Improve prosperity and innovation in cities by fostering sustainable local economies including circular economy, promoting digital transition and creating employment. The priority of this call for proposals is to promote the EU development
	cooperation <i>Rights-Based Approach</i> , encompassing human rights, including participation and access to the decision-making process, non-discrimination (including Gender Equality) and equal access, accountability and access to the rule of law and transparency and access to information.
	For indicative purpose, the following non-exhaustive list of activities that may be financed under this call:
	1/ Promote urban governance Capacity building of public officials responsible for urban matters at sub national level.
	Action related to the management/ response to sanitary crisis such as covid-19 To develop urban planning, spatial planning and land use management. Supporting LAs to improve institutional arrangements for city
	management. Promoting e-governance and e-Services in line with creating an inclusive and fair digital transformation on the city level

Elaborating / arrangements for consultation and cooperation among different stakeholders (government levels, private sector, academia, civil society organisations) in different areas of sustainable urban development. Improving or designing accountability mechanisms towards city dwellers and civil society.
Support LA's to design action plans and projects improving municipal PFM and revenue generation systems and innovative finance. Trainings towards city/local administration representatives in urban
governance methods and principles. 2/ Ensure social inclusiveness of cities
Improving and mainstreaming the integration of marginalised and vulnerable groups, including internal displaced persons and migrants Projects promoting urban systems which address the 'urban-planning gender gap' by responding to women's needs, including safety and security in urban public spaces.
Pilot projects on basic services and network infrastructures, i.e. water, sanitation, waste (including recycling), energy (including efficiency) and public transport.
Technical support to LAs for access to safe, nutritious and sufficient food in a wider context supporting functional rural-urban links.
Projects in the field of integrated urban development targeting cultural heritage/cultural spaces in cities as factors to ensure inclusiveness. supporting digital skills including literacy and basic digital skills particularly for women and youth
3/ Resilience and greening of cities
Improving environmental quality in cities and circular economy including climate change mitigation and adaptation and measures. Pilot projects to support the design and implementation of new
environmental and climate resilient local public policies in line with the EU green deal.
Awareness raising on alternative modes of transport improving sustainable and low carbon urban mobility.
Improving the quality of air in the cities and management of water and solid waste
Developing disaster preparedness and risks reduction interventions. Nature based solutions to disaster risk reduction (eco-DRR) will be promoted, especially when these also contribute to climate change adaptation. 4/ Prosperity and innovation in cities
stimulate an enabling environment for the private sector, including local firms promoting inclusive and social enterprises, business incubator and business accelerator
Increasing job creation and entrepreneurship including through business incubator and supporting digital skills Providing technical support to devise innovative and financial
arrangements to finance large-scale sustainable infrastructure investment and maintenance.
Pilot projects focusing on innovation to create employment and promote socially responsible entrepreneurship. Promoting transition toward a digital economy and promote a related business environment

Budget	Any requested EU contribution (grant) under this call for proposals must
	fall between the following minimum and maximum amounts:
	minimum amount: EUR 1 000 000
	maximum amount: EUR 3 000 000
	Any requested EU contribution (grant) under this call for proposals must
	fall between the following minimum and maximum percentages of total
	eligible costs of the action:
	Minimum percentage: 50 % of the total eligible costs of the action.
	Maximum percentage: 95% of the total eligible costs of the action
Who can apply	Lead applicant
who can apply	In order to be eligible for a grant, the lead applicant must:
	be a legal person and
	be a specific type of organisation such as:
	Local Authorities (LA) ¹ ; or
	Association of Local Authorities (ALA), including city networks constituted
	in accordance with the legislation in force in the country; and
	be established in ² one of the eligible countries or territories listed in Annex
	L to these guidelines; and
	be directly responsible for the preparation and management of the action
	with the co-applicant(s) and affiliated entity(ies), not acting as an
	intermediary.
	Under all lots, the lead applicant must act with at least one co-
	applicant as specified hereafter.
	To be eligible, the partnership must include at least one applicant
	(either as lead applicant or co-applicant) established in a EU Member
	State and at least one applicant (either as lead applicant or co-
	applicant) established in the beneficiary country where the action will
	take place (see section 2.1.4 "Location").
	Co-applicants participate in designing and implementing the action, and
	the costs they incur are eligible in the same way as those incurred by the
	lead applicant.
	brder to be eligible for a grant, the co-applicant must:
	be a legal person and
	be a specific type of organisation such as:
	Local Authorities (LA) ³ ; or
	Association of Local Authorities (ALA), including city networks constituted
	in accordance with the legislation in force in the country; or

¹ For the purpose of this call for proposals, the term «Local Authorities» refers to public institutions **with legal personality**, component of the State structure, below the level of central government and accountable to citizens. Local Authorities are usually composed of a deliberative or policymaking body (council or assembly) and an executive body (the Mayor or other executive officer), directly or indirectly elected or selected at local level. Local authorities encompass a large variety of sub-national levels and branches of government, i.e. municipalities, communities, districts, counties, provinces, regions etc

² To be determined on the basis of the organisation's statutes, which should demonstrate that it has been established by an instrument governed by the national law of the country concerned and that its head office is located in an eligible country. In this respect, any legal entity whose statutes have been established in another country cannot be considered an eligible local organisation, even if the statutes are registered locally or a 'Memorandum of Understanding' has been concluded.

³ For the purpose of this call for proposals, the term «Local Authorities» refers to public institutions with legal personality, component of the State structure, below the level of central government and accountable to citizens. Local Authorities are usually composed of a deliberative or policymaking body (council or assembly) and an executive body (the Mayor or other executive officer), directly or indirectly elected or selected at local level. Local authorities encompass a large variety of sub-national levels and branches of government, i.e. municipalities, communities, districts, counties, provinces, regions etc.

	Private, public or semi-public bodies/agencies/organisations of public
	utility ⁴ ; or
	Universities or a research centres constituted in accordance with the
	legislation in force in the country; and
	be established in ⁵ one of the eligible countries or territories listed in Annex
	L to these guidelines.
Limb	

Link

https://webgate.ec.europa.eu/europeaid/onlineservices/index.cfm?ADSSChck=1616080420773&do= publi.detPUB&searchtype=QS&orderby=upd&orderbyad= Desc&nbPubliList=15&page=1&aoref=171273

⁴ Ex: water distribution, water or waste management, public transportation, producer or provider of electricity, etc. ⁵ To be determined on the basis of the organisation's statutes, which should demonstrate that it has been established by an instrument governed by the national law of the country concerned and that its head office is located in an eligible country. In this respect, any legal entity whose statutes have been established in another country cannot be considered an eligible local organisation, even if the statutes are registered locally or a 'Memorandum of Understanding' has been concluded.